

02/14/02

JCS25 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No. 09792909-5337

First Named Inventor or Application Identifier

Hideo Yamanaka

Express Mail Label No: EL834724946US

JCS97 U.S. PTO

10/075774

02/14/02

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 201
2. ☒ Drawing(s) (35USC 113) Total Pages 38
3. ☒ Declaration and Power of Attorney Total Pages 2
- a. ☒ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
- [Note Box 4 Below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
12. ☒ Certified copy of Japanese priority document No. P2001-036441 filed February 14, 2001.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

| (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) BASIC FEE \$740.00 |
|---|------------------|------------------|-----------|------------------------|
| TOTAL CLAIMS | 131 | 111 | \$18.00 | 1,998.00 |
| INDEPENDENT CLAIMS | 6 | 3 | 84.00 | 252.00 |
| ANY MULTIPLE DEPENDENT CLAIMS? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO | | | 280.00 | 280.00 |
| | | | TOTAL FEE | \$3,270.00 |

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$3,270.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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Date: February 14, 2002

U-11



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West Palm Beach

February 14, 2002

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled "METHOD AND APPARATUS FOR FORMING A THIN SEMICONDUCTOR FILM, METHOD AND APPARATUS FOR PRODUCING A SEMICONDUCTOR DEVICE, AND ELECTRO-OPTICAL APPARATUS"

Applicant(s): Hideo Yamanaka, et al.
Attorney Docket No. 09792909-5337

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 131 claims (6 independent w/multiple), 38 sheets of drawings (Figs. 1-27C) and the filing fee of \$3,270.00 on behalf of

HIDEO YAMANAKA and HISAYOSHI YAMOTO

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:

David R. Metzger

DVM:kat
Enclosures

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